

# Failure Detectors: definition, algorithms, and applications

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# Roadmap

- 1 Introduction
- 2 Definition
- 3 Application: a Consensus Algorithm
  - The Model
  - The Algorithm
  - The Proof
- 4 Implementation of a Failure Detector
  - $\diamond\mathcal{P}$
  - The Model
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- 5 References

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## Outline of the FLP's Impossibility [3]

The impossibility of the consensus comes from the fact that correct processes **cannot differentiate slow processes from crashed ones.**

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The impossibility of the consensus comes from the fact that correct processes **cannot differentiate slow processes from crashed ones**.

**Using synchrony assumptions**, processes can obtain **information about crashes**.

Then, this information enables solving problems, including consensus.

# The Failure Detector Approach [1]

In a software engineering spirit:

- separate the **necessary knowledge on crashes** to **solve the problem** (the definition of the failure detector)
- from **the way it can be obtained**<sup>1</sup> (the implementation of the failure detector)

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<sup>1</sup>In particular, the necessary assumptions on the system.

<sup>2</sup>A lesson we be dedicated to this aspect.

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## Advantages

- **Separation of concerns**: modularity and simplicity
- Possibility to **compare** and to have a **necessary and sufficient assumption** (the **minimum failure detector** to solve a problem)<sup>2</sup>

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## (Distributed) Failure Detector: an Oracle

Each process  $p$  can access a **local failure detector module** (an oracle function) denoted by  $\mathcal{D}_p$ .

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Each process  $p$  can access a **local failure detector module** (an oracle function) denoted by  $\mathcal{D}_p$ .

Each module watches a subset of system processes (usually the whole set of processes), and returns information about crashed: usually **a set of suspected processes**.<sup>3</sup>

Precisely, **the identifiers of processes that are suspected of being crashed**.

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Precisely, **the identifiers of processes that are suspected of being crashed**.

**Unless otherwise mentioned**, we will always assume that

**each local failure detector module watches all processes and returns a list of suspected processes.**

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<sup>3</sup>*N.b.*, some failure detectors, such as  $\Omega$  or  $\Sigma$ , do not return a list of suspected processes.

# Unreliable Failure Detectors

Each local module **can make mistakes**:

- by **wrongly suspecting** correct processes
- by **missing** some crashed processes

# Failure Detector Classes

The **classes of failure detectors** are distinguished by two important properties:

**Completeness:** restrict the ability of the failure detector module to detect crashes

**Accuracy:** qualify the possibility of the failure detector module to wrongly suspect correct processes

# Completeness: Examples

**Strong Completeness:** Every faulty process is eventually permanently suspected by **every** correct process.

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**Weak Completeness:** Every faulty process is eventually permanently suspected by **some** correct process.

## Accuracy: Examples

**Strong accuracy:** **no** process is suspected (by any alive process<sup>4</sup>) before it crashes.

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<sup>4</sup>An alive process is either a correct process or a faulty process that has not crashed yet.

<sup>5</sup>As explained in [1], we can use correct instead of alive with loss of generality for eventual strong/weak accuracy.



## Accuracy: Examples

**Strong accuracy:** **no** process is suspected (by any alive process<sup>4</sup>) before it crashes.

**Weak accuracy:** **some** correct process is never suspected (by any alive process).

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**Eventual strong accuracy:** **there is a time after which no** correct process is suspected by any correct process.<sup>5</sup>

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**Eventual strong accuracy:** **there is a time after which no** correct process is suspected by any correct process.<sup>5</sup>

**Eventual weak accuracy:** **there is a time after which some** correct process is never suspected by any correct process.

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# Some Classes of Failure Detectors

Completeness	Accuracy			
	Strong	Weak	Eventually Strong	Eventually Weak
Strong	Perfect $\mathcal{P}$	Strong $\mathcal{S}$	Eventually Perfect $\diamond\mathcal{P}$	Eventually Strong $\diamond\mathcal{S}$
Weak	Quasi-perfect $\mathcal{Q}$	Weak $\mathcal{W}$	Eventually Quasi-perfect $\diamond\mathcal{Q}$	Eventually Weak $\diamond\mathcal{W}$

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# Failure Detector $\mathcal{S}$

We now study a **consensus algorithm**<sup>6</sup> that uses  $\mathcal{S}$ :

**Strong Completeness:** Every faulty process is eventually permanently suspected by **every** correct process.

**Weak accuracy:** **some** correct process is never suspected (by any alive process).

---

<sup>6</sup>This algorithm is inspired for one of Chandra and Toueg [2].

# Assumptions

- 1 Failure detector  $\mathcal{S}$ : local module  $\mathcal{S}_p$  for each process  $p$
- 2 Asynchronous processes
- 3 Asynchronous **reliable links** (not necessarily FIFO)
- 4 Any process  $p$  can broadcast a message to all processes ( $p$  included!)
- 5 **No assumption on the number of crashes!**

# Principles

## 3 Phases:

**Phase 1:**  $n - 1$  asynchronous rounds where **proposed values** are broadcast and relayed.

**Phase 2:** 1 asynchronous round where all alive processes **agree on the value of a vector  $V$**  based on proposed values.

**Phase 3:** each alive process **decides according to the vector  $V$** .



## Constants & Variables

- Processes are identified: a process and its identifier are used equivalently
- $V$ : set of processes
- $n$ : number of processes
- $v_p$ : a boolean (read-only) input, the value proposed by process  $p$
- $d_p \in \{\perp, 0, 1\}$ : the decision variable
- $r_p \in \mathbb{N}$ : the round number of process  $p$
- $\Delta_p[], V_p[]$ : vectors indexed on the process IDs.  
 $\rightarrow \forall q \in V, V_p[q] \in \{0, 1, \perp\}$  and  $\Delta_p[q] \in \{0, 1, \perp\}$

# Algorithm of Chandra and Toueg

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n-1$  do /* Phase 1 */
6:   broadcast  $\langle r_p, \Delta_p, p \rangle$  to  $V$  ( $p$  included)
7:   wait to receive  $\langle r_p, \Delta_q, q \rangle$  from  $q \in V \setminus S_p$ 
8:   let  $R_p[r_p]$  be the set of received  $\langle r_p, \Delta_q, q \rangle$ 
9:    $\Delta_p \leftarrow [\perp, \dots, \perp]$ 
10:  For all  $k \in V$  do
11:    If  $V_p[k] = \perp \wedge (\exists (r_p, \Delta_q, q) \in R_p[r_p], \Delta_q[k] \neq \perp)$  then
12:       $V_p[k] \leftarrow \Delta_q[k]$ 
13:       $\Delta_p[k] \leftarrow \Delta_q[k]$ 
14:    End If
15:  Done
16: Done
17: broadcast  $\langle V_p \rangle$  to  $V$  ( $p$  included) /* Phase 2 */
18: wait to receive  $\langle V_q \rangle$  from every process  $q \in V \setminus S_p$ 
19: Let  $lastmsg_{S_p}$  be the set of received  $\langle V_q \rangle$ 
20: For all  $k \in V$  do
21:   If  $\exists V_q \in lastmsg_{S_p}, V_q[k] = \perp$  then  $V_p[k] \leftarrow \perp$ 
22: Done
23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$  /* Phase 3 */

```

# Validity

## Remark 1

At the end of Round  $r \geq 1$ ,  $\Delta_p[q] \neq \perp$  IFF  $p \neq q$ ,  $\Delta_p[q]$  is the value proposed by  $q$ , and  $p$  has received this value for the first time during Round  $r$ .

From Remark 1 and owing the fact that  $V_p[p] = v_p$  after the initialization (Lines 1-4), we can deduce the following lemma by definition of the algorithm:

## Lemma 1

For every two processes  $p$  and  $q$ , after the initialization (Lines 1-4) and while  $p$  is not crashed,  $V_p[q]$  is either  $v_q$  or  $\perp$ .

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n-1$  do                                     /* Phase 1 */
6:   broadcast  $\langle r_p, \Delta_p, p \rangle$  to  $V$  ( $p$  included)
7:   wait to receive  $\langle r_p, \Delta_q, q \rangle$  from  $q \in V \setminus S_p$ 
8:   let  $R_p[r_p]$  be the set of received  $\langle r_p, \Delta_q, q \rangle$ 
9:    $\Delta_p \leftarrow [\perp, \dots, \perp]$ 
10:  For all  $k \in V$  do
11:    If  $V_p[k] = \perp \wedge (\exists (r_p, \Delta_q, q) \in R_p[r_p], \Delta_q[k] \neq \perp)$  then
12:       $V_p[k] \leftarrow \Delta_q[k]$ 
13:       $\Delta_p[k] \leftarrow \Delta_q[k]$ 
14:    End If
15:  Done
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17: broadcast  $\langle V_p \rangle$  to  $V$  ( $p$  included)                               /* Phase 2 */
18: wait to receive  $\langle V_q \rangle$  from  $q \in V \setminus S_p$ 
19: Let  $lastmsgs_p$  be the set of received  $\langle V_q \rangle$ 
20: For all  $k \in V$  do
21:   If  $\exists V_q \in lastmsgs_p, V_q[k] = \perp$  then  $V_p[k] \leftarrow \perp$ 
22: Done
23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$            /* Phase 3 */

```

# Termination

## Lemma 2

Every correct process eventually executes Line 23.

### Proof.

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
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22: Done
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Every correct process eventually executes Line 23.

### Proof.

The only way to prevent a correct process  $p$  from reaching Line 23 is to block it forever on **Line 7 or 18** in some round  $r$ .

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1:  $d_p \leftarrow \perp$ 
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19: Let  $lastmsgs_p$  be the set of received  $\langle V_q \rangle$ 
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The only way to prevent a correct process  $p$  from reaching Line 23 is to block it forever on **Line 7 or 18** in some round  $r$ .

Let  $Faulty \subseteq V$  be the set of faulty processes. Let  $Correct \subseteq V$  be the set of correct processes.

By definition,  $V = Faulty \dot{\cup} Correct$ .

By strong completeness, **eventually**  
 $V \setminus \mathcal{S}_p \subseteq Correct$  forever

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1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n-1$  do                                     /* Phase 1 */
6:   broadcast  $\langle r_p, \Delta_p, p \rangle$  to  $V$  ( $p$  included)
7:   wait to receive  $\langle r_p, \Delta_q, q \rangle$  from  $q \in V \setminus \mathcal{S}_p$ 
8:   let  $R_p[r_p]$  be the set of received  $\langle r_p, \Delta_q, q \rangle$ 
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19: Let  $lastmsgs_p$  be the set of received  $\langle V_q \rangle$ 
20: For all  $k \in V$  do
21:   If  $\exists V_q \in lastmsgs_p, V_q[k] = \perp$  then  $V_p[k] \leftarrow \perp$ 
22: Done
23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$          /* Phase 3 */

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By definition,  $V = Faulty \dot{\cup} Correct$ .

By strong completeness, **eventually**  
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We now consider the cases of **Line 7 and 18** separately.

```

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4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n-1$  do                                     /* Phase 1 */
6:   broadcast  $\langle r_p, \Delta_p, p \rangle$  to  $V$  ( $p$  included)
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22: Done
23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$          /* Phase 3 */

```

# Termination

## Case 1: Line 7

By induction on  $r$ :  $\forall p \in \text{Correct}, p$   
 completes every Round  $r \in [1..n-1]$ .

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n-1$  do                                     /* Phase 1 */
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```



# Termination

## Case 1: Line 7

By induction on  $r$ :  $\forall p \in \text{Correct}, p$  completes every Round  $r \in [1..n-1]$ .

$r = 1$ :  $\forall q \in \text{Correct}, q$  completes Line 6 during Round 1.

By link reliability,  $\forall p \in \text{Correct}, p$  eventually receives  $\langle 1, \Delta_q, q \rangle$  from every  $q \in \text{Correct}$  in Round 1.

Since eventually  $V \setminus S_p \subseteq \text{Correct}$  forever,  $p$  completes Round 1.

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n-1$  do                                /* Phase 1 */
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23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$     /* Phase 3 */

```

# Termination

## Case 1: Line 7

By induction on  $r$ :  $\forall p \in \text{Correct}, p$  completes every Round  $r \in [1..n-1]$ .

$r = 1$ :  $\forall q \in \text{Correct}, q$  completes Line 6 during Round 1.

By link reliability,  $\forall p \in \text{Correct}, p$  eventually receives  $\langle 1, \Delta_q, q \rangle$  from every  $q \in \text{Correct}$  in Round 1.

Since eventually  $V \setminus S_p \subseteq \text{Correct}$  forever,  $p$  completes Round 1.

$r > 1$ : By induction hypothesis,  $\forall q \in \text{Correct}, q$  completes Round  $r-1$ , so  $q$  completes Line 6 during Round  $r$ .

By link reliability,  $\forall p \in \text{Correct}, p$  eventually receives  $\langle r, \Delta_q, q \rangle$  from every  $q \in \text{Correct}$  in Round  $r$ .

Since eventually  $V \setminus S_p \subseteq \text{Correct}$  forever,  $\forall p \in \text{Correct}, p$  completes Round  $r$ .

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n-1$  do                                /* Phase 1 */
6:   broadcast  $\langle r_p, \Delta_p, p \rangle$  to  $V$  ( $p$  included)
7:   wait to receive  $\langle r_p, \Delta_q, q \rangle$  from  $q \in V \setminus S_p$ 
8:   let  $R_p[r_p]$  be the set of received  $\langle r_p, \Delta_q, q \rangle$ 
9:    $\Delta_p \leftarrow [\perp, \dots, \perp]$ 
10:  For all  $k \in V$  do
11:    If  $V_p[k] = \perp \wedge (\exists (r_p, \Delta_q, q) \in R_p[r_p], \Delta_q[k] \neq \perp)$  then
12:       $V_p[k] \leftarrow \Delta_q[k]$ 
13:       $\Delta_p[k] \leftarrow \Delta_q[k]$ 
14:    End If
15:  Done
16: Done
17: broadcast  $\langle V_p \rangle$  to  $V$  ( $p$  included)                            /* Phase 2 */
18: wait to receive  $\langle V_q \rangle$  from  $q \in V \setminus S_p$ 
19: Let  $lastmsgs_p$  be the set of received  $\langle V_q \rangle$ 
20: For all  $k \in V$  do
21:   If  $\exists V_q \in lastmsgs_p, V_q[k] = \perp$  then  $V_p[k] \leftarrow \perp$ 
22: Done
23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$           /* Phase 3 */

```

# Termination

## Case 2: Line 18

From the previous induction,  
 $\forall p \in \text{Correct}$ ,  $p$  completes Phase 1.

So,  $\forall p \in \text{Correct}$ ,  $p$  completes Line 17.

By link reliability,  $\forall p \in \text{Correct}$ ,  $p$   
 eventually receives  $\langle V_q \rangle$  from every  
 $q \in \text{Correct}$ .

Since eventually  $V \setminus S_p \subseteq \text{Correct}$  forever,  
 $\forall p \in \text{Correct}$ ,  $p$  completes Line 18.  $\square$

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n-1$  do                                /* Phase 1 */
6:   broadcast  $\langle r_p, \Delta_p, p \rangle$  to  $V$  ( $p$  included)
7:   wait to receive  $\langle r_p, \Delta_q, q \rangle$  from  $q \in V \setminus S_p$ 
8:   let  $R_p[r_p]$  be the set of received  $\langle r_p, \Delta_q, q \rangle$ 
9:    $\Delta_p \leftarrow [\perp, \dots, \perp]$ 
10:  For all  $k \in V$  do
11:    If  $V_p[k] = \perp \wedge (\exists (r_p, \Delta_q, q) \in R_p[r_p], \Delta_q[k] \neq \perp)$  then
12:       $V_p[k] \leftarrow \Delta_q[k]$ 
13:       $\Delta_p[k] \leftarrow \Delta_q[k]$ 
14:    End If
15:  Done
16: Done
17: broadcast  $\langle V_p \rangle$  to  $V$  ( $p$  included)                        /* Phase 2 */
18: wait to receive  $\langle V_q \rangle$  from  $q \in V \setminus S_p$ 
19: Let  $lastmsgsp$  be the set of received  $\langle V_q \rangle$ 
20: For all  $k \in V$  do
21:   If  $\exists V_q \in lastmsgsp, V_q[k] = \perp$  then  $V_p[k] \leftarrow \perp$ 
22: Done
23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$     /* Phase 3 */

```

# Notations

- By **weak accuracy**: there is a correct process  $c$  which is never suspected.
- Let  $\Pi_1$  be the set of processes that terminate the  $n - 1$  rounds of Phase 1.
- Let  $\Pi_2$  be the set of processes that terminate Phase 2.

By definition,  $\Pi_2 \subseteq \Pi_1$ .

- We note  $V_p \leq V_q$  IFF  $\forall k$ , either  $V_p[k] = V_q[k]$  or  $V_p[k] = \perp$ .

# Messages from $c$

## Lemma 3

During Round  $r$ , with  $1 \leq r \leq n-1$ , every process of  $\Pi_1$  receives  $(r, \Delta_c, c)$  from  $c$ , i.e.,  $(r, \Delta_c, c) \in R_p[c]$ .

**Proof.** Since  $p \in \Pi_1$ ,  $p$  terminates all rounds of Phase 1.

At each round,  $p$  waits and receives a  $\langle r, \Delta_c, c \rangle$  message from  $c$  since  $c \notin S_p$  forever.  $\square$

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n-1$  do                                     /* Phase 1 */
6:   broadcast  $\langle r_p, \Delta_p, p \rangle$  to  $V$  ( $p$  included)
7:   wait to receive  $\langle r_p, \Delta_q, q \rangle$  from  $q \in V \setminus S_p$ 
8:   let  $R_p[r_p]$  be the set of received  $\langle r_p, \Delta_q, q \rangle$ 
9:    $\Delta_p \leftarrow [\perp, \dots, \perp]$ 
10:  For all  $k \in V$  do
11:    If  $V_p[k] = \perp \wedge (\exists \langle r_p, \Delta_q, q \rangle \in R_p[r_p], \Delta_q[k] \neq \perp)$  then
12:       $V_p[k] \leftarrow \Delta_q[k]$ 
13:       $\Delta_p[k] \leftarrow \Delta_q[k]$ 
14:    End If
15:  Done
16: Done
17: broadcast  $\langle V_p \rangle$  to  $V$  ( $p$  included)                               /* Phase 2 */
18: wait to receive  $\langle V_q \rangle$  from  $q \in V \setminus S_p$ 
19: Let  $lastmsgs_p$  be the set of received  $\langle V_q \rangle$ 
20: For all  $k \in V$  do
21:   If  $\exists V_q \in lastmsgs_p, V_q[k] = \perp$  then  $V_p[k] \leftarrow \perp$ 
22: Done
23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$            /* Phase 3 */

```

# Learning Values

## Lemma 4

$\forall p \in \Pi_1, V_c \leq V_p$  at the end of Phase 1.

### Proof.

Assume that  $V_c[q] \neq \perp$  at the end of Phase 1 for some process  $q$ .

By Lemma 1,  $V_c[q] = v_q$ .

Let  $p \in \Pi_1$ . We now show that  $V_p[q] = v_q$  at the end of Phase 1.

The case  $p = c$  is trivial. So, we now assume that  $p \neq c$ .

Let  $r$  be the first round where  $c$  receives  $v_q$  (if  $c = q$ , we let  $r = 0$  and assume the end of Round 0 is Line 4)

$\Delta_c[q] = v_q$  at the end of Round  $r$ .

Two cases:  $r < n-1$  and  $r = n-1$

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n-1$  do                                /* Phase 1 */
6:   broadcast  $\langle r_p, \Delta_p, p \rangle$  to  $V$  ( $p$  included)
7:   wait to receive  $\langle r_p, \Delta_q, q \rangle$  from  $q \in V \setminus \mathcal{S}_p$ 
8:   let  $R_p[r_p]$  be the set of received  $\langle r_p, \Delta_q, q \rangle$ 
9:    $\Delta_p \leftarrow [\perp, \dots, \perp]$ 
10:  For all  $k \in V$  do
11:    if  $V_p[k] = \perp \wedge (\exists \langle r_p, \Delta_q, q \rangle \in R_p[r_p], \Delta_q[k] \neq \perp)$  then
12:       $V_p[k] \leftarrow \Delta_q[k]$ 
13:       $\Delta_p[k] \leftarrow \Delta_q[k]$ 
14:    End if
15:  Done
16: Done
17: broadcast  $\langle V_p \rangle$  to  $V$  ( $p$  included)                        /* Phase 2 */
18: wait to receive  $\langle V_q \rangle$  from  $q \in V \setminus \mathcal{S}_p$ 
19: Let  $lastmsgs_p$  be the set of received  $\langle V_q \rangle$ 
20: For all  $k \in V$  do
21:   if  $\exists V_q \in lastmsgs_p, V_q[k] = \perp$  then  $V_p[k] \leftarrow \perp$ 
22: Done
23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$     /* Phase 3 */

```

# Learning Values

## Case 1: $r < n - 1$

During Round  $r + 1 \leq n - 1$ ,  $c$  relays  $v_q$  by **broadcasting**  $\langle r + 1, \Delta_c, c \rangle$  with  $\Delta_c[q] = v_q$ .

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n - 1$  do /* Phase 1 */
6:   broadcast  $\langle r_p, \Delta_p, p \rangle$  to  $V$  ( $p$  included)
7:   wait to receive  $\langle r_p, \Delta_q, q \rangle$  from  $q \in V \setminus S_p$ 
8:   let  $R_p[r_p]$  be the set of received  $\langle r_p, \Delta_q, q \rangle$ 
9:    $\Delta_p \leftarrow [\perp, \dots, \perp]$ 
10:  For all  $k \in V$  do
11:    If  $V_p[k] = \perp \wedge (\exists (r_p, \Delta_q, q) \in R_p[r_p], \Delta_q[k] \neq \perp)$  then
12:       $V_p[k] \leftarrow \Delta_q[k]$ 
13:       $\Delta_p[k] \leftarrow \Delta_q[k]$ 
14:    End If
15:  Done
16: Done
17: broadcast  $\langle V_p \rangle$  to  $V$  ( $p$  included) /* Phase 2 */
18: wait to receive  $\langle V_q \rangle$  from  $q \in V \setminus S_p$ 
19: Let  $lastmsgs_p$  be the set of received  $\langle V_q \rangle$ 
20: For all  $k \in V$  do
21:   If  $\exists V_q \in lastmsgs_p, V_q[k] = \perp$  then  $V_p[k] \leftarrow \perp$ 
22: Done
23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$  /* Phase 3 */

```

# Learning Values

## Case 1: $r < n - 1$

During Round  $r + 1 \leq n - 1$ ,  $c$  relays  $v_q$  by **broadcasting**  $\langle r + 1, \Delta_c, c \rangle$  with  $\Delta_c[q] = v_q$ .

By Lemma 3,  $p$  receives  $\langle r + 1, \Delta_c, c \rangle$  during Round  $r + 1$ .

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n - 1$  do                                /* Phase 1 */
6:   broadcast  $\langle r_p, \Delta_p, p \rangle$  to  $V$  ( $p$  included)
7:   wait to receive  $\langle r_p, \Delta_q, q \rangle$  from  $q \in V \setminus S_p$ 
8:   let  $R_p[r_p]$  be the set of received  $\langle r_p, \Delta_q, q \rangle$ 
9:    $\Delta_p \leftarrow [\perp, \dots, \perp]$ 
10:  For all  $k \in V$  do
11:    If  $V_p[k] = \perp \wedge (\exists (r_p, \Delta_q, q) \in R_p[r_p], \Delta_q[k] \neq \perp)$  then
12:       $V_p[k] \leftarrow \Delta_q[k]$ 
13:       $\Delta_p[k] \leftarrow \Delta_q[k]$ 
14:    End If
15:  Done
16: Done
17: broadcast  $\langle V_p \rangle$  to  $V$  ( $p$  included)                            /* Phase 2 */
18: wait to receive  $\langle V_q \rangle$  from  $q \in V \setminus S_p$ 
19: Let  $lastmsgs_p$  be the set of received  $\langle V_q \rangle$ 
20: For all  $k \in V$  do
21:   If  $\exists V_q \in lastmsgs_p, V_q[k] = \perp$  then  $V_p[k] \leftarrow \perp$ 
22: Done
23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$           /* Phase 3 */

```



# Learning Values

## Case 1: $r < n - 1$

During Round  $r + 1 \leq n - 1$ ,  $c$  relays  $v_q$  by **broadcasting**  $\langle r + 1, \Delta_c, c \rangle$  with  $\Delta_c[q] = v_q$ .

By Lemma 3,  $p$  receives  $\langle r + 1, \Delta_c, c \rangle$  during Round  $r + 1$ .

From the code of the algorithm,  $V_p[q] = v_q$  at the end of round  $r + 1$ .

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n - 1$  do                                /* Phase 1 */
6:   broadcast  $\langle r_p, \Delta_p, p \rangle$  to  $V$  ( $p$  included)
7:   wait to receive  $\langle r_p, \Delta_q, q \rangle$  from  $q \in V \setminus S_p$ 
8:   let  $R_p[r_p]$  be the set of received  $\langle r_p, \Delta_q, q \rangle$ 
9:    $\Delta_p \leftarrow [\perp, \dots, \perp]$ 
10:  For all  $k \in V$  do
11:    If  $V_p[k] = \perp \wedge (\exists (r_p, \Delta_q, q) \in R_p[r_p], \Delta_q[k] \neq \perp)$  then
12:       $V_p[k] \leftarrow \Delta_q[k]$ 
13:       $\Delta_p[k] \leftarrow \Delta_q[k]$ 
14:    End If
15:  Done
16: Done
17: broadcast  $\langle V_p \rangle$  to  $V$  ( $p$  included)                            /* Phase 2 */
18: wait to receive  $\langle V_q \rangle$  from  $q \in V \setminus S_p$ 
19: Let  $lastmsgs_p$  be the set of received  $\langle V_q \rangle$ 
20: For all  $k \in V$  do
21:   If  $\exists V_q \in lastmsgs_p, V_q[k] = \perp$  then  $V_p[k] \leftarrow \perp$ 
22: Done
23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$           /* Phase 3 */

```

# Learning Values

## Case 2: $r = n - 1$

Since  $c$  receives  $v_q$  for the first time during Round  $n - 1$  and every process relays  $v_q$  at most once:

$v_q$  has been relayed by  $n - 1$  distinct different from  $c$  before reaching  $c$ .

$p$  necessarily belongs to this set of processes.

□

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n - 1$  do                                /* Phase 1 */
6:   broadcast  $\langle r_p, \Delta_p, p \rangle$  to  $V$  ( $p$  included)
7:   wait to receive  $\langle r_p, \Delta_q, q \rangle$  from  $q \in V \setminus S_p$ 
8:   let  $R_p[r_p]$  be the set of received  $\langle r_p, \Delta_q, q \rangle$ 
9:    $\Delta_p \leftarrow [\perp, \dots, \perp]$ 
10:  For all  $k \in V$  do
11:    If  $V_p[k] = \perp \wedge (\exists (r_p, \Delta_q, q) \in R_p[r_p], \Delta_q[k] \neq \perp)$  then
12:       $V_p[k] \leftarrow \Delta_q[k]$ 
13:       $\Delta_p[k] \leftarrow \Delta_q[k]$ 
14:    End If
15:  Done
16: Done
17: broadcast  $\langle V_p \rangle$  to  $V$  ( $p$  included)                            /* Phase 2 */
18: wait to receive  $\langle V_q \rangle$  from  $q \in V \setminus S_p$ 
19: Let  $lastmsgs_p$  be the set of received  $\langle V_q \rangle$ 
20: For all  $k \in V$  do
21:   If  $\exists V_q \in lastmsgs_p, V_q[k] = \perp$  then  $V_p[k] \leftarrow \perp$ 
22: Done
23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$           /* Phase 3 */

```

# Learning Values

## Case 2: $r = n - 1$

Since  $c$  receives  $v_q$  for the first time during Round  $n - 1$  and every process relays  $v_q$  at most once:

$v_q$  has been relayed by  $n - 1$  distinct different from  $c$  before reaching  $c$ .

$p$  necessarily belongs to this set of processes.

Now,  $V_p[q] = v_q$  right before relaying  $v_q$ , so  $V_p[q] = v_q$  at the end of Phase 1.  $\square$

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n - 1$  do                                /* Phase 1 */
6:   broadcast  $\langle r_p, \Delta_p, p \rangle$  to  $V$  ( $p$  included)
7:   wait to receive  $\langle r_p, \Delta_q, q \rangle$  from  $q \in V \setminus S_p$ 
8:   let  $R_p[r_p]$  be the set of received  $\langle r_p, \Delta_q, q \rangle$ 
9:    $\Delta_p \leftarrow [\perp, \dots, \perp]$ 
10:  For all  $k \in V$  do
11:    If  $V_p[k] = \perp \wedge (\exists (r_p, \Delta_q, q) \in R_p[r_p], \Delta_q[k] \neq \perp)$  then
12:       $V_p[k] \leftarrow \Delta_q[k]$ 
13:       $\Delta_p[k] \leftarrow \Delta_q[k]$ 
14:    End If
15:  Done
16: Done
17: broadcast  $\langle V_p \rangle$  to  $V$  ( $p$  included)                            /* Phase 2 */
18: wait to receive  $\langle V_q \rangle$  from  $q \in V \setminus S_p$ 
19: Let  $lastmsgsp$  be the set of received  $\langle V_q \rangle$ 
20: For all  $k \in V$  do
21:   If  $\exists V_q \in lastmsgsp, V_q[k] = \perp$  then  $V_p[k] \leftarrow \perp$ 
22: Done
23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$           /* Phase 3 */

```

## Decision (1/3)

## Lemma 5

$\forall p \in \Pi_2, V_c = V_p$  at the end of Phase 2.

**Proof.** Let  $p \in \Pi_2$ . Let  $q$  be a process. We should show that  $V_p[q] = V_c[q]$  at the end of Phase 2.

By Lemma 1, we have the following two cases:

- $V_c[q] = v_q$  at the end of Phase 1.
- $V_c[q] = \perp$  at the end of Phase 1.

□

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n-1$  do                                /* Phase 1 */
6:   broadcast  $\langle r_p, \Delta_p, p \rangle$  to  $V$  ( $p$  included)
7:   wait to receive  $\langle r_p, \Delta_q, q \rangle$  from  $q \in V \setminus S_p$ 
8:   let  $R_p[r_p]$  be the set of received  $\langle r_p, \Delta_q, q \rangle$ 
9:    $\Delta_p \leftarrow [\perp, \dots, \perp]$ 
10:  For all  $k \in V$  do
11:    If  $V_p[k] = \perp \wedge (\exists (r_p, \Delta_q, q) \in R_p[r_p], \Delta_q[k] \neq \perp)$  then
12:       $V_p[k] \leftarrow \Delta_q[k]$ 
13:       $\Delta_p[k] \leftarrow \Delta_q[k]$ 
14:    End If
15:  Done
16: Done
17: broadcast  $\langle V_p \rangle$  to  $V$  ( $p$  included)                        /* Phase 2 */
18: wait to receive  $\langle V_q \rangle$  from  $q \in V \setminus S_p$ 
19: Let  $lastmsgsp$  be the set of received  $\langle V_q \rangle$ 
20: For all  $k \in V$  do
21:   If  $\exists V_q \in lastmsgsp, V_q[k] = \perp$  then  $V_p[k] \leftarrow \perp$ 
22: Done
23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$     /* Phase 3 */

```

## Decision (1/3)

## Lemma 5

$\forall p \in \Pi_2, V_c = V_p$  at the end of Phase 2.

**Proof.** Let  $p \in \Pi_2$ . Let  $q$  be a process. We should show that  $V_p[q] = V_c[q]$  at the end of Phase 2.

By Lemma 1, we have the following two cases:

- $V_c[q] = v_q$  at the end of Phase 1.

By Lemma 4,  $\forall p' \in \Pi_1$  ( $p$  and  $c$  included),  $V_{p'}[q] = v_q$  at the end of Phase 1.

Thus, for all vectors  $V$  sent during Phase 2, we have  $V[q] = v_q$ .

Hence,  $V_p[q]$  and  $V_c[q]$  remain equal to  $v_q$  during Phase 2.

- $V_c[q] = \perp$  at the end of Phase 1.

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n-1$  do                                /* Phase 1 */
6:   broadcast  $\langle r_p, \Delta_p, p \rangle$  to  $V$  ( $p$  included)
7:   wait to receive  $\langle r_p, \Delta_q, q \rangle$  from  $q \in V \setminus S_p$ 
8:   let  $R_p[r_p]$  be the set of received  $\langle r_p, \Delta_q, q \rangle$ 
9:    $\Delta_p \leftarrow [\perp, \dots, \perp]$ 
10:  For all  $k \in V$  do
11:    If  $V_p[k] = \perp \wedge (\exists (r_p, \Delta_q, q) \in R_p[r_p], \Delta_q[k] \neq \perp)$  then
12:       $V_p[k] \leftarrow \Delta_q[k]$ 
13:       $\Delta_p[k] \leftarrow \Delta_q[k]$ 
14:    End If
15:  Done
16: Done
17: broadcast  $\langle V_p \rangle$  to  $V$  ( $p$  included)                        /* Phase 2 */
18: wait to receive  $\langle V_q \rangle$  from  $q \in V \setminus S_p$ 
19: let  $lastmsgsp$  be the set of received  $\langle V_q \rangle$ 
20: For all  $k \in V$  do
21:   If  $\exists V_q \in lastmsgsp, V_q[k] = \perp$  then  $V_p[k] \leftarrow \perp$ 
22: Done
23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$     /* Phase 3 */

```

□

## Decision (1/3)

## Lemma 5

$\forall p \in \Pi_2, V_c = V_p$  at the end of Phase 2.

**Proof.** Let  $p \in \Pi_2$ . Let  $q$  be a process. We should show that  $V_p[q] = V_c[q]$  at the end of Phase 2.

By Lemma 1, we have the following two cases:

- $V_c[q] = v_q$  at the end of Phase 1.

By Lemma 4,  $\forall p' \in \Pi_1$  ( $p$  and  $c$  included),  $V_{p'}[q] = v_q$  at the end of Phase 1.

Thus, for all vectors  $V$  sent during Phase 2, we have  $V[q] = v_q$ .

Hence,  $V_p[q]$  and  $V_c[q]$  remain equal to  $v_q$  during Phase 2.

- $V_c[q] = \perp$  at the end of Phase 1.

Since  $c \notin \mathcal{S}_p$  forever,  $p$  waits and receives  $V_c$  during Phase 2.

Since  $V_c[q] = \perp$ ,  $p$  sets  $V_p[q]$  to  $\perp$  during Phase 2.

□

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n-1$  do                                /* Phase 1 */
6:   broadcast  $\langle r_p, \Delta_p, p \rangle$  to  $V$  ( $p$  included)
7:   wait to receive  $\langle r_p, \Delta_q, q \rangle$  from  $q \in V \setminus \mathcal{S}_p$ 
8:   let  $R_p[r_p]$  be the set of received  $\langle r_p, \Delta_q, q \rangle$ 
9:    $\Delta_p \leftarrow [\perp, \dots, \perp]$ 
10:  For all  $k \in V$  do
11:    if  $V_p[k] = \perp \wedge (\exists (r_p, \Delta_q, q) \in R_p[r_p], \Delta_q[k] \neq \perp)$  then
12:       $V_p[k] \leftarrow \Delta_q[k]$ 
13:       $\Delta_p[k] \leftarrow \Delta_q[k]$ 
14:    End if
15:  Done
16: Done
17: broadcast  $\langle V_p \rangle$  to  $V$  ( $p$  included)                        /* Phase 2 */
18: wait to receive  $\langle V_q \rangle$  from  $q \in V \setminus \mathcal{S}_p$ 
19: let  $lastmsgsp$  be the set of received  $\langle V_q \rangle$ 
20: For all  $k \in V$  do
21:   if  $\exists V_q \in lastmsgsp, V_q[k] = \perp$  then  $V_p[k] \leftarrow \perp$ 
22: Done
23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$     /* Phase 3 */

```

## Decision (2/3)

## Lemma 6

$\forall p \in \Pi_2, V_p[c] = v_c$  at the end of Phase 2.

## Proof.

□

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n-1$  do                                     /* Phase 1 */
6:   broadcast  $\langle r_p, \Delta_p, \rho \rangle$  to  $V$  ( $p$  included)
7:   wait to receive  $\langle r_p, \Delta_q, q \rangle$  from  $q \in V \setminus S_p$ 
8:   let  $R_p[r_p]$  be the set of received  $\langle r_p, \Delta_q, q \rangle$ 
9:    $\Delta_p \leftarrow [\perp, \dots, \perp]$ 
10:  For all  $k \in V$  do
11:    If  $V_p[k] = \perp \wedge (\exists \langle r_p, \Delta_q, q \rangle \in R_p[r_p], \Delta_q[k] \neq \perp)$  then
12:       $V_p[k] \leftarrow \Delta_q[k]$ 
13:       $\Delta_p[k] \leftarrow \Delta_q[k]$ 
14:    End If
15:  Done
16: Done
17: broadcast  $\langle V_p \rangle$  to  $V$  ( $p$  included)                               /* Phase 2 */
18: wait to receive  $\langle V_q \rangle$  from  $q \in V \setminus S_p$ 
19: Let  $lastmsgs_p$  be the set of received  $\langle V_q \rangle$ 
20: For all  $k \in V$  do
21:   If  $\exists V_q \in lastmsgs_p, V_q[k] = \perp$  then  $V_p[k] \leftarrow \perp$ 
22: Done
23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$            /* Phase 3 */

```

# Decision (2/3)

## Lemma 6

$\forall p \in \Pi_2, V_p[c] = v_c$  at the end of Phase 2.

**Proof.** From the code of the algorithm (Line 3), we know that  $V_c[c] = v_c$  at the end of Phase 1.

□

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n-1$  do                                     /* Phase 1 */
6:   broadcast  $\langle r_p, \Delta_p, \rho \rangle$  to  $V$  ( $p$  included)
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11:    If  $V_p[k] = \perp \wedge (\exists \langle r_p, \Delta_q, q \rangle \in R_p[r_p], \Delta_q[k] \neq \perp)$  then
12:       $V_p[k] \leftarrow \Delta_q[k]$ 
13:       $\Delta_p[k] \leftarrow \Delta_q[k]$ 
14:    End If
15:  Done
16: Done
17: broadcast  $\langle V_p \rangle$  to  $V$  ( $p$  included)                               /* Phase 2 */
18: wait to receive  $\langle V_q \rangle$  from  $q \in V \setminus S_p$ 
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20: For all  $k \in V$  do
21:   If  $\exists V_q \in lastmsgs_p, V_q[k] = \perp$  then  $V_p[k] \leftarrow \perp$ 
22: Done
23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$            /* Phase 3 */

```



# Decision (2/3)

## Lemma 6

$\forall p \in \Pi_2, V_p[c] = v_c$  at the end of Phase 2.

**Proof.** From the code of the algorithm (Line 3), we know that  $V_c[c] = v_c$  at the end of Phase 1.

By Lemma 4,  $\forall q \in \Pi_1, V_q[c] = v_c$  at the end of Phase 1.

□

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n-1$  do                                     /* Phase 1 */
6:   broadcast  $\langle r_p, \Delta_p, \rho \rangle$  to  $V$  ( $p$  included)
7:   wait to receive  $\langle r_p, \Delta_q, q \rangle$  from  $q \in V \setminus S_p$ 
8:   let  $R_p[r_p]$  be the set of received  $\langle r_p, \Delta_q, q \rangle$ 
9:    $\Delta_p \leftarrow [\perp, \dots, \perp]$ 
10:  For all  $k \in V$  do
11:    If  $V_p[k] = \perp \wedge (\exists \langle r_p, \Delta_q, q \rangle \in R_p[r_p], \Delta_q[k] \neq \perp)$  then
12:       $V_p[k] \leftarrow \Delta_q[k]$ 
13:       $\Delta_p[k] \leftarrow \Delta_q[k]$ 
14:    End If
15:  Done
16: Done
17: broadcast  $\langle V_p \rangle$  to  $V$  ( $p$  included)                               /* Phase 2 */
18: wait to receive  $\langle V_q \rangle$  from  $q \in V \setminus S_p$ 
19: Let  $lastmsgs_p$  be the set of received  $\langle V_q \rangle$ 
20: For all  $k \in V$  do
21:   If  $\exists V_q \in lastmsgs_p, V_q[k] = \perp$  then  $V_p[k] \leftarrow \perp$ 
22: Done
23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$            /* Phase 3 */

```

## Decision (2/3)

## Lemma 6

$\forall p \in \Pi_2, V_p[c] = v_c$  at the end of Phase 2.

**Proof.** From the code of the algorithm (Line 3), we know that  $V_c[c] = v_c$  at the end of Phase 1.

By Lemma 4,  $\forall q \in \Pi_1, V_q[c] = v_c$  at the end of Phase 1.

Thus, no process sends a vector  $V$  such that  $V[c] = \perp$  during Phase 2.

□

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n-1$  do                                     /* Phase 1 */
6:   broadcast  $\langle r_p, \Delta_p, p \rangle$  to  $V$  ( $p$  included)
7:   wait to receive  $\langle r_p, \Delta_q, q \rangle$  from  $q \in V \setminus S_p$ 
8:   let  $R_p[r_p]$  be the set of received  $\langle r_p, \Delta_q, q \rangle$ 
9:    $\Delta_p \leftarrow [\perp, \dots, \perp]$ 
10:  For all  $k \in V$  do
11:    If  $V_p[k] = \perp \wedge (\exists (r_p, \Delta_q, q) \in R_p[r_p], \Delta_q[k] \neq \perp)$  then
12:       $V_p[k] \leftarrow \Delta_q[k]$ 
13:       $\Delta_p[k] \leftarrow \Delta_q[k]$ 
14:    End If
15:  Done
16: Done
17: broadcast  $\langle V_p \rangle$  to  $V$  ( $p$  included)                             /* Phase 2 */
18: wait to receive  $\langle V_q \rangle$  from  $q \in V \setminus S_p$ 
19: Let  $lastmsgs_p$  be the set of received  $\langle V_q \rangle$ 
20: For all  $k \in V$  do
21:   If  $\exists V_q \in lastmsgs_p, V_q[k] = \perp$  then  $V_p[k] \leftarrow \perp$ 
22: Done
23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$           /* Phase 3 */

```

# Decision (2/3)

## Lemma 6

$\forall p \in \Pi_2, V_p[c] = v_c$  at the end of Phase 2.

**Proof.** From the code of the algorithm (Line 3), we know that  $V_c[c] = v_c$  at the end of Phase 1.

By Lemma 4,  $\forall q \in \Pi_1, V_q[c] = v_c$  at the end of Phase 1.

Thus, no process sends a vector  $V$  such that  $V[c] = \perp$  during Phase 2.

Hence, from the code of the algorithm, we can deduce that  $\forall p \in \Pi_2, V_p[c] = v_c$  at the end of Phase 2.  $\square$

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n-1$  do                                     /* Phase 1 */
6:   broadcast  $\langle r_p, \Delta_p, p \rangle$  to  $V$  ( $p$  included)
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20: For all  $k \in V$  do
21:   If  $\exists V_q \in lastmsgs_p, V_q[k] = \perp$  then  $V_p[k] \leftarrow \perp$ 
22: Done
23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$          /* Phase 3 */

```

# Decision (3/3)

## Corollary 1

No two processes decide differently.

**Proof.** By Lemma 6, all processes that execute Phase 3 take a well-defined decision.

By Lemma 5, all processes that execute Phase 3 have the same vector.

So, they take the same decision.  $\square$

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n-1$  do                                     /* Phase 1 */
6:   broadcast  $\langle r_p, \Delta_p, p \rangle$  to  $V$  ( $p$  included)
7:   wait to receive  $\langle r_p, \Delta_q, q \rangle$  from  $q \in V \setminus S_p$ 
8:   let  $R_p[r_p]$  be the set of received  $\langle r_p, \Delta_q, q \rangle$ 
9:    $\Delta_p \leftarrow [\perp, \dots, \perp]$ 
10:  For all  $k \in V$  do
11:    If  $V_p[k] = \perp \wedge (\exists (r_p, \Delta_q, q) \in R_p[r_p], \Delta_q[k] \neq \perp)$  then
12:       $V_p[k] \leftarrow \Delta_q[k]$ 
13:       $\Delta_p[k] \leftarrow \Delta_q[k]$ 
14:    End If
15:  Done
16: Done
17: broadcast  $\langle V_p \rangle$  to  $V$  ( $p$  included)                             /* Phase 2 */
18: wait to receive  $\langle V_q \rangle$  from  $q \in V \setminus S_p$ 
19: Let  $lastmsgs_p$  be the set of received  $\langle V_q \rangle$ 
20: For all  $k \in V$  do
21:   If  $\exists V_q \in lastmsgs_p, V_q[k] = \perp$  then  $V_p[k] \leftarrow \perp$ 
22: Done
23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$          /* Phase 3 */

```

# Result

## Theorem 1

The algorithm of Chandra and Toueg solves the consensus in an asynchronous system enriched with a failure detector  $\mathcal{S}$ .

## Proof.

□

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
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8:   let  $R_p[r_p]$  be the set of received  $\langle r_p, \Delta_q, q \rangle$ 
9:    $\Delta_p \leftarrow [\perp, \dots, \perp]$ 
10:  For all  $k \in V$  do
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12:       $V_p[k] \leftarrow \Delta_q[k]$ 
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22: Done
23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$  /* Phase 3 */

```

# Result

## Theorem 1

The algorithm of Chandra and Toueg solves the consensus in an asynchronous system enriched with a failure detector  $\mathcal{S}$ .

### Proof.

- **Integrity:** from the code of the algorithm, Phase 3 is executed only once.

□

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n-1$  do /* Phase 1 */
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11:    If  $V_p[k] = \perp \wedge (\exists \langle r_p, \Delta_q, q \rangle \in R_p[r_p], \Delta_q[k] \neq \perp)$  then
12:       $V_p[k] \leftarrow \Delta_q[k]$ 
13:       $\Delta_p[k] \leftarrow \Delta_q[k]$ 
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```

# Result

## Theorem 1

The algorithm of Chandra and Toueg solves the consensus in an asynchronous system enriched with a failure detector  $\mathcal{S}$ .

### Proof.

- **Integrity:** from the code of the algorithm, Phase 3 is executed only once.
- **Termination:** Lemma 2.
- **Agreement:** Corollary 1.
- **Validity:** Lemma 1 and Line 23.

□

```

1:  $d_p \leftarrow \perp$ 
2:  $V_p \leftarrow [\perp, \dots, \perp]$ 
3:  $V_p[p] \leftarrow v_p$ 
4:  $\Delta_p \leftarrow V_p$ 
5: For all  $r_p$  from 1 to  $n-1$  do                                     /* Phase 1 */
6:   broadcast  $\langle r_p, \Delta_p, p \rangle$  to  $V$  ( $p$  included)
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21:   If  $\exists V_q \in lastmsgs_p, V_q[k] = \perp$  then  $V_p[k] \leftarrow \perp$ 
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23:  $d_p \leftarrow x$  where  $x$  is the first non- $\perp$  value in  $V_p$            /* Phase 3 */

```

# Roadmap

- 1 Introduction
- 2 Definition
- 3 Application: a Consensus Algorithm
  - The Model
  - The Algorithm
  - The Proof
- 4 Implementation of a Failure Detector
  - $\diamond\mathcal{P}$
  - The Model
  - The Algorithm
  - The Proof
- 5 References



## A Simple Example: $\diamond\mathcal{P}$

Every failure detector of the class  $\diamond\mathcal{P}$  satisfies both **strong completeness** and **eventual strong accuracy**:

**Strong Completeness:** Every faulty process is eventually permanently suspected by **every** correct process.

**Eventual strong accuracy:** **there is a time after which no** correct process is suspected by any correct process.

# System Assumptions

## Motivation

Given a failure detector of class  $\diamond\mathcal{P}$ , consensus can be solved in an asynchronous crash-prone system with reliable links where a majority of processes is correct.<sup>7</sup>

---

<sup>7</sup>In these settings, consensus can be even solved with a weaker failure detector ( $\Omega$ ). This fact will be established in the next lesson.

# System Assumptions

## Motivation

Given a failure detector of class  $\diamond\mathcal{P}$ , consensus can be solved in an asynchronous crash-prone system with reliable links where a majority of processes is correct.<sup>7</sup>

**The FLP implies that partial synchrony assumptions are required to implement such a failure detector!**

---

<sup>7</sup>In these settings, consensus can be even solved with a weaker failure detector ( $\Omega$ ). This fact will be established in the next lesson.

# System Assumptions

## The Partially Synchronous System $S_{\diamond b}$ (1/2)

- Complete Network Topology

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## The Partially Synchronous System $S_{\diamond b}$ (1/2)

- Complete Network Topology
- Process failures: only crashes!
- Correct processes are **eventually synchronous**: for every correct process  $p$ , there exists a time  $t_p$  (**a priori unknown** by **all** processes) from which  $p$  executes each of its instructions in a time belonging to  $[\alpha_p.. \beta_p]$  with  $0 < \alpha_p \leq \beta_p$ .  
 $\alpha_p$  and  $\beta_p$  are **a priori unknown**, for every process  $p$ .

# System Assumptions

## The Partially Synchronous System $S_{\diamond b}$ (2/2)

- There exists at least one **eventual bi-source  $\diamond b$**  (a priori **unknown** by processes): all its outgoing and incoming links are eventually reliable and synchronous, *i.e.*,  
there exists a time  $t_{\diamond b}$  from which every message sent to or from  $\diamond b$  is delivered within at most  $\delta_{\diamond b}$  time units.  
  
 $\diamond b$ ,  $t_{\diamond b}$ , and  $\delta_{\diamond b}$  are **a priori unknown** (even by  $\diamond b$ !)

# System Assumptions

## The Partially Synchronous System $S_{\diamond b}$ (2/2)

- There exists at least one **eventual bi-source  $\diamond b$**  (a priori **unknown** by processes): all its outgoing and incoming links are eventually reliable and synchronous, *i.e.*, there exists a time  $t_{\diamond b}$  from which every message sent to or from  $\diamond b$  is delivered within at most  $\delta_{\diamond b}$  time units.

$\diamond b$ ,  $t_{\diamond b}$ , and  $\delta_{\diamond b}$  are **a priori unknown** (even by  $\diamond b$ !)

Every other link is arbitrary slow and lossy.

Recall that every message is delivered or lost within finite time.



# Principles

- 1 Each process regularly broadcasts ALIVE messages tagged with its ID

Each message is **relayed once**.

This way,  $\diamond b$  acts as a **hub**: eventually messages tagged with IDs of correct processes are delivered within bounded time.

# Principles

- 1 Each process regularly broadcasts ALIVE messages tagged with its ID

Each message is **relayed once**.

This way, ◇ $b$  acts as a **hub**: **eventually messages tagged with IDs of correct processes are delivered within bounded time**.

- 2 Each process maintains a **timer** for each other process.

**On Time Out**: the watched process is **suspected**.

If later, the process receives a message tagged with the ID of some suspected process, **it stops suspecting it and increases the waiting time of the associated timer**.

## Constants & Variables

- Processes are identified: a process and its identifier are used equivalently
- *ALIVE*: message type
- $V$ : set of processes
- $k \in \mathbb{N}^*$ : constant
- $Timer_p[]$ ,  $ElapsedTime_p[]$ : arrays of integer indexed on the process IDs, except  $p$ .
- $Alive_p$ ,  $Suspected_p$ : sets of identifiers  
( $Suspected_p$  is the algorithm output)

# Algorithm *EP*

Algorithm *EP* for each process  $p$ , output:  $Suspected_p$

```

1:  $Alive_p \leftarrow V$ ;  $Suspected_p \leftarrow \emptyset$ 
2:  $Timer_p \leftarrow [k, \dots, k]$ ;  $ElapseTime_p \leftarrow [k, \dots, k]$ 
3: While true do
4:   broadcast  $\langle ALIVE, p, p \rangle$  to  $V \setminus \{p\}$ 
5:   For all  $q \in V \setminus \{p\}$  do
6:     If receive  $\langle ALIVE, r, q \rangle$  then
7:       If  $r \neq p$  then
8:         If  $ElapseTime_p[r] \leq 0$  then  $Timer_p[r]++$ 
9:          $ElapseTime_p[r] \leftarrow Timer_p[r]$ 
10:         $Alive_p \leftarrow Alive_p \cup \{r\}$ 
11:        If  $r = q$  then broadcast  $\langle ALIVE, r, p \rangle$  to  $V \setminus \{p\}$ 
12:      End if
13:    End if
14:  Done
15:  For all  $q \in V \setminus \{p\}$  do
16:    If  $ElapseTime_p[q] = 0$  then
17:       $Alive_p \leftarrow Alive_p \setminus \{q\}$ 
18:    else
19:       $ElapseTime_p[q]--$ 
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21:  Done
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23: Done

```

## Eventual Suspicion of Faulty Processes (1/3)

Recall that the wildcard “\_” designates any value.

### Lemma 1

Each correct process  $p$  eventually no more receives  $\langle ALIVE, q, \_ \rangle$  where  $q$  is a faulty process.

### Proof.

```

1:  $Alive_p \leftarrow V; Suspected_p \leftarrow \emptyset$ 
2:  $Timer_p \leftarrow [k, \dots, k]; ElapseTime_p \leftarrow [k, \dots, k]$ 
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Each correct process  $p$  eventually no more receives  $\langle ALIVE, q, \_ \rangle$  where  $q$  is a faulty process.

**Proof.**  $q$  broadcasts **finitely many**  $\langle ALIVE, q, q \rangle$  messages before crashing.

```

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□

```

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Now, each  $\langle ALIVE, q, q \rangle$  message is **relayed at most once** by every other processes.

As every sent message is **eventually either received or lost**, the lemma holds.  $\square$

```

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## Eventual Suspicion of Faulty Processes (2/3)

### Lemma 2

Let  $p$  be a correct process.  $\exists t_p, K_p, K'_p \in \mathbb{N}$  with  $K_p \geq K'_p$  such that after time  $t_p$ , each iteration of the “while” loop by  $p$  lasts a time belonging to  $[K'_p, K_p]$ .

### Proof.

By definition,  $\exists$  a time  $t$  from which each instruction is executed by  $p$  within a time that is both lower and upper bounded since  $p$  is eventually synchronous.

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There is a finite number of instructions before the “while” loop. So, in the worst case, the “while” loop begins within bounded time  $t_p$  after  $t$ .

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### Proof.

By definition,  $\exists$  a time  $t$  from which each instruction is executed by  $p$  within a time that is both lower and upper bounded since  $p$  is eventually synchronous.

There is a finite number of instructions before the “while” loop. So, in the worst case, the “while” loop begins within bounded time  $t_p$  after  $t$ .

Similarly, after  $t_p$ ,  $\exists K_p, K'_p \in \mathbb{N}$  with  $K'_p \leq K_p$  such that each iteration of the “while” loop by  $p$  lasts a time belonging to  $[K'_p, K_p]$  since the “while” loop contains a bounded number of instructions and the time to execute any instruction is both lower and upper bounded.

□

# Eventual Suspicion of Faulty Processes (3/3)

## Corollary 1

Every faulty process is eventually forever suspected by **every** correct process.

**Proof.** Let  $q$  be a faulty process and  $p$  be a correct process.

```

1:  $Alive_p \leftarrow V$ ;  $Suspected_p \leftarrow \emptyset$ 
2:  $Timer_p \leftarrow [k, \dots, k]$ ;  $ElapseTime_p \leftarrow [k, \dots, k]$ 
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By Lemma 2, there exists a time  $t_p$  from which  $p$  executes a “while” loop iteration within at most  $K_p$  time units.

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By Lemma 2, there exists a time  $t_p$  from which  $p$  executes a “while” loop iteration within at most  $K_p$  time units.

So, from time  $t_p$ ,  $p$  eventually satisfies  $ElapseTime_p[q] = 0$  forever, by Lemma 1.

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Hence,  $q$  is eventually removed from  $Alive_p$  forever and so eventually belongs forever to  $Suspected_p$ . □

```

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## Unsuspection of Correct Processes (1/4)

### Lemma 3

Let  $p$  and  $q$  be two correct processes such that  $p \neq q$ . There exists  $t_p, \Delta_p \in \mathbb{N}$  such that after time  $t_p$ ,  $p$  receives  $\langle \text{ALIVE}, q, \_ \rangle$  at least every  $\Delta_p$  time units.

**Proof.** Let  $\diamond b$  be an eventual bi-source.



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**Proof.** Let  $\diamond b$  be an eventual bi-source.

By Lemma 2 and by definition of eventual bi-source, there exists  $t \in \mathbb{N}$  such that after time  $t$ ,

- $q$ ,  $\diamond b$ , and  $p$  respectively execute each “while” loop iteration within at most  $K_q$ ,  $K_{\diamond b}$ , and  $K_p$  time units.
- Moreover, every message sent from or to  $\diamond b$  is delivered in at most  $\delta_{\diamond b}$  time units.

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**Proof.** Let  $\diamond b$  be an eventual bi-source.

By Lemma 2 and by definition of eventual bi-source, there exists  $t \in \mathbb{N}$  such that after time  $t$ ,

- $q$ ,  $\diamond b$ , and  $p$  respectively execute each “while” loop iteration within at most  $K_q$ ,  $K_{\diamond b}$ , and  $K_p$  time units.
- Moreover, every message sent from or to  $\diamond b$  is delivered in at most  $\delta_{\diamond b}$  time units.

Two cases:  $q = \diamond b$  or  $q \neq \diamond b$ .

## Unsuspection of Correct Processes (2/4)

Case  $q = \diamond b$

$\exists t' \in [t..t + K_q]$  such that  $q$  starts a “while” loop iteration at time  $t'$ . From  $t'$ ,  $q$  executes a (full) “while” loop iteration at least every  $K_q$  time units. So,  $q$  broadcasts  $\langle ALIVE, q, q \rangle$  at least every  $K_q$  time units from  $t'$ .

## Unsuspection of Correct Processes (2/4)

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These messages are delivered to  $p$  within at most  $\delta_{\diamond b}$  time units after their sending.

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These messages are delivered to  $p$  within at most  $\delta_{\diamond b}$  time units after their sending.

Now,  $p$  executes a full “while” loop iteration at least every  $2.K_p$  time units.

Hence, with  $\Delta_p = K_q + \delta_{\diamond b} + 2.K_p$ , the lemma holds in this case.

## Unsuspection of Correct Processes (3/4)

Case  $q \neq \diamond b$

Similarly to the previous case:  $\exists t' \in [t..t + K_q]$  such that  $q$  broadcasts  $\langle ALIVE, q, q \rangle$  at least every  $K_q$  time units from  $t'$ .

□

# Unsuspection of Correct Processes (3/4)

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Similarly to the previous case:  $\exists t' \in [t..t + K_q]$  such that  $q$  broadcasts  $\langle ALIVE, q, q \rangle$  at least every  $K_q$  time units from  $t'$ .

These messages are delivered to  $\diamond b$  within at most  $\delta_{\diamond b}$  time units after their sending; so at least every  $K_q + \delta_{\diamond b}$  time units.

□

# Unsuspection of Correct Processes (3/4)

Case  $q \neq \diamond b$

Similarly to the previous case:  $\exists t' \in [t..t + K_q]$  such that  $q$  broadcasts  $\langle ALIVE, q, q \rangle$  at least every  $K_q$  time units from  $t'$ .

These messages are delivered to  $\diamond b$  within at most  $\delta_{\diamond b}$  time units after their sending; so at least every  $K_q + \delta_{\diamond b}$  time units.

$\diamond b$  sends  $\langle ALIVE, q, \diamond b \rangle$  to  $p$  at least every  $K_q + \delta_{\diamond b} + 2.K_{\diamond b}$  time units.

□



# Unsuspection of Correct Processes (3/4)

Case  $q \neq \diamond b$

Similarly to the previous case:  $\exists t' \in [t..t + K_q]$  such that  $q$  broadcasts  $\langle ALIVE, q, q \rangle$  at least every  $K_q$  time units from  $t'$ .

These messages are delivered to  $\diamond b$  within at most  $\delta_{\diamond b}$  time units after their sending; so at least every  $K_q + \delta_{\diamond b}$  time units.

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$\langle ALIVE, q, \_ \rangle$  messages are delivered to  $p$  at least  $K_q + 2.\delta_{\diamond b} + 2.K_{\diamond b}$  time units.

□

## Unsuspection of Correct Processes (3/4)

Case  $q \neq \diamond b$

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These messages are delivered to  $\diamond b$  within at most  $\delta_{\diamond b}$  time units after their sending; so at least every  $K_q + \delta_{\diamond b}$  time units.

$\diamond b$  sends  $\langle ALIVE, q, \diamond b \rangle$  to  $p$  at least every  $K_q + \delta_{\diamond b} + 2.K_{\diamond b}$  time units.

$\langle ALIVE, q, \_ \rangle$  messages are delivered to  $p$  at least  $K_q + 2.\delta_{\diamond b} + 2.K_{\diamond b}$  time units.

Since  $p$  executes a full “while” loop iteration at least every  $2.K_p$  time units, by letting  $\Delta_p = K_q + 2.\delta_{\diamond b} + 2.K_{\diamond b} + 2.K_p$ , the lemma holds in this case.  $\square$

# Unsuspicion of Correct Processes (4/4)

## Corollary 2

There exists a time from which **no** correct process is suspected by any correct process.

### Proof.

Let  $p$  and  $q$  be two correct processes.

```

1:  $Alive_p \leftarrow V$ ;  $Suspected_p \leftarrow \emptyset$ 
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3: While true do
4:   broadcast  $\langle ALIVE, p, p \rangle$  to  $V \setminus \{p\}$ 
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# Unsuspicion of Correct Processes (4/4)

## Corollary 2

There exists a time from which **no** correct process is suspected by any correct process.

### Proof.

Let  $p$  and  $q$  be two correct processes.

- If  $p = q$ ,  $q$  is never removed from  $Alive_p$  and so never inserted into  $Suspected_p$ . Hence, the lemma holds in this case.

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Between every removal and insertion of  $q$ ,  $Timer_p[q]$  is incremented.

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Assume, by contradiction, that  $q$  is suspected infinitely often by  $p$ .

Between every removal and insertion of  $q$ ,  $Timer_p[q]$  is incremented.

So, the time between two consecutive receptions of  $\langle ALIVE, q, \_ \rangle$  by  $p$  regularly increases by Lemma 2, a contradiction to Lemma 3.

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# Correctness of the Algorithm

By Corollaries 1 and 2, follows.

## Theorem 1

Algorithm  $EP$  is a failure detector of type  $\diamond\mathcal{P}$  in System  $\mathcal{S}_{\diamond b}$ .

# Roadmap

- 1 Introduction
- 2 Definition
- 3 Application: a Consensus Algorithm
  - The Model
  - The Algorithm
  - The Proof
- 4 Implementation of a Failure Detector
  - $\diamond\mathcal{P}$
  - The Model
  - The Algorithm
  - The Proof
- 5 References

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